



Name of meeting: CORPORATE GOVERNANCE & AUDIT COMMITTEE

Date: 20th JANUARY 2021
Title of report: RISK MANAGEMENT UPDATE

Purpose of report.
To provide information on the Councils Risk Management Statement and its arrangements for Corporate Risk Management.

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	not applicable
Key Decision - Is it in the Council's Forward Plan (key decisions and private reports?)	not applicable
The Decision - Is it eligible for call in by Scrutiny?	not applicable
Date signed off by Strategic Director & name	not applicable
Is it also signed off by the Service Director for Finance IT and Transactional Services?	Yes (11/1/21)
Is it also signed off by the Service Director for Legal Governance and Commissioning Support?	Yes (11/1/21)
Cabinet member portfolio	not applicable

Public or private: PRIVATE APPENDIX

The appendix to this report is recommended for consideration in private because the information contained in it is exempt information within part 1 of Schedule 12A of the Local Government Act 1972 namely that the report contains information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining the exemption outweighs the public interest in disclosing the information and providing greater openness in the Council's decision making.

1. Corporate Matrix & Corporate Processes

Cabinet approved the new approach to risk management in March 2018, The Corporate Risk Matrix is quite stable in terms of contents, structured by risk type with trend analysis and responsibility holders. As reported in November 2019, there were difficulties in engaging service directors and heads of service in the risk management process. A simplified

spreadsheet was created and populated for many activities although there were some issues re quality control and moderation. These were in the process of being addressed during spring 2020, but the coronavirus pandemic meant that management actions were redirected to more immediate priorities. In autumn 2020 management were invited to review and formally resubmit directorate or service-based risk records, and these are currently being collected in.

The pandemic also led to new risk priorities being identified during April 2020, with these being modified somewhat during the summer and autumn as immediate concerns became less intensive and a wider range of ongoing issues became apparent.

The Risk Panel now meets more regularly than previously, the Executive Team still consider reports at a quarterly frequency, although the progression through some member processes was not fully achieved during 2020, The Corporate Scrutiny Panel have informally reviewed the matrices on several occasions during the year.

2. Corporate Risk Matrix

The Corporate Risk Matrix already identified the ongoing risks and issues many of which are common to any large local authority. These do have a significant Kirklees dimension, and have been affected by current issues, such as the coronavirus pandemic and Brexit. The December 2020 version is attached as Appendix 1 (public). It has since been revised to reflect the UKs new relationship with the EU.

3. Key Significant Risks and Threats

This report has been reformatted into a style closer to but not identical to the corporate risk matrix. It still contains emerging risks and issues, with assessments of immediacy and impact, but it has become too long and complex. It will be shortened and prioritised for first consideration in 2021. The draft shared with the Corporate Scrutiny (informal) meeting in December 2020 is an appendix recommended for consideration in the private part of this meeting.

4. CGAC are asked to

1. Indicate if they are content with the risk management processes as described
2. Make comments, if any, on the corporate risk matrix and the emerging risk matrix, including identifying any other major and imminent risks not addressed here

M E Dearnley
Head of Risk January 2021